

Machine-Learning based model order reduction of a biomechanical model of the human tongue

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Abstract

Background and Objectives: This paper presents the results of a Machine-Learning based Model Order Reduction (MOR) method applied to a complex 3D Finite Element (FE) biomechanical model of the human tongue, in order to create a Digital Twin Model (DTM) that enables real-time simulations. The DTM is designed for future inclusion in a computer assisted protocol for tongue surgery planning.

Methods: The proposed method uses an “a posteriori” MOR that allows, from a limited number of simulations with the FE model, to predict in real time mechanical responses of the human tongue to muscle activations.

Results: The MOR method is evaluated for simulations associated with separate single tongue muscle activations. It is shown to be able to account with a sub-millimetric spatial accuracy for the non-linear dynamical behavior of the tongue model observed in these simulations.

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Conclusion: Further evaluations of the MOR method will include tongue movements induced by multiple muscle activations. At this stage our MOR method offers promising perspectives for the use of the tongue model in a clinical context to predict the impact of tongue surgery on tongue mobility. As a long term application, this DTM of the tongue could be used to predict the functional consequences of the surgery in terms of speech production and swallowing.

Keywords: Real-time simulation, Model Order Reduction, Digital Twins, Human tongue

1 **1. Introduction**

2 *1.1. Medical context*

3 Nowadays, tongue is the most common intraoral site for cancer [1]. In
4 France, tongue cancer affects 4200 new patients each year [2] and all around
5 the world it represents 30% to 50% of the oral cavity tumors [1, 3].

6 A common technique to treat patients suffering from tongue cancer is the
7 exeresis of a part of the tongue [4]. This surgery can have severe consequences
8 on tongue mobility and deformation capabilities, inducing impairments of
9 mastication, deglutition and speech production which can reduce drastically
10 the quality of life of patients [5]. Quantitative predictions of the functional
11 consequences of this surgery is very complex for clinicians.

12 The present study is part of a long-term project aiming at developing a
13 patient-specific “in silico” surgery planning system that should quantitatively
14 predict the functional consequences of orofacial surgery. This will require:

- 15 • to automatically generate patient-specific 3D Finite Element (FE) tongue
16 models [6].
- 17 • to quantitatively predict, within a time interval compatible with clinical
18 constraints, the functional consequences of anatomical changes (e.g.
19 tumor resection with flap reconstruction [7]) on swallowing and speech.

20 Achieving real-time simulations is the focus of our paper, and we propose
21 for this to use a Model Order Reduction (MOR) method based on Machine-
22 Learning techniques.

23 The tongue is a complex organ with incompressible tissues and nonlinear
24 viscoelastic properties [8, 9]. Numerical simulations with an FE model of the
25 human tongue, which accounts for the non-linear mechanical properties of
26 tongue tissues and accurately implements tongue muscle anatomy, can take
27 very long time (on an Intel(R) Xeon(R) with 16Gb and 8 logical cores about
28 one hour to simulate a movement of some tens of milliseconds), which makes
29 it difficult to use such a model in a clinical context [10, 11]. To study the
30 functional outcome of the surgery in terms of speech production or swallow-
31 ing, a key point is to be able to simulate tongue trajectories over time and not
32 just to produce the final tongue shape resulting from muscles contractions.
33 Hence a transient FE analysis, which solves movement equations, is required.
34 In this context a difficulty is that tongue can move quite rapidly in speech
35 production (10 to 20 cm/s), which increases the impact of visco-elastic prop-
36 erties on movement. To account for this phenomenon, the challenge of MOR
37 techniques is to capture the non-linear behavior of the tongue.

38 *1.2. Related Works*

39 MOR methods have recently received a growing interest to challenge the
40 real-time simulation problem in computer-aided surgery [12]. These methods
41 allow to obtain real-time simulations by reducing the computational complex-
42 ity without simplifying the physics of the model.

43 Projection-based and collocation-based MOR methods are the most pop-
44 ular ones [13, 14, 15, 16]. Projection-based MOR methods are divided in
45 two categories: (1) *a posteriori* methods, such as the Proper Orthogonal
46 Decomposition, which create a Reduced Order Model (ROM) from a large
47 set of simulations called “Snapshots” [13] and require a computationally in-
48 tensive *offline* phase; (2) *a priori* methods, such as the Proper Generalized
49 Decomposition, which reduce the model during the problem solving process
50 itself [14, 17]. A method of the former type was applied to computational
51 medicine by Niroomandi et al. [15] in the case of non-linear quasi-static and
52 large deformation problems to simulate the palpation of the human cornea.

53 In [16] the ROM was created with a collocation-based MOR method called
54 Space Subspace Learning [18]. The authors have developed a Digital Twin
55 Model (DTM) of the liver by considering the large displacement approach in
56 linear elasticity and quasi-static way.

57 *1.3. Overview*

58 Unlike previous studies, a strong constraint in physical modeling of tongue
59 in speech production and swallowing is the necessity to solve movement equa-
60 tions over time. To do so we propose to rely on an *a posteriori* machine-
61 learning-based MOR (ML-based MOR) method using a recurrent neural net-
62 work. We tested the capacity of the ML-based MOR method to account for

63 the dynamical characteristics of the tongue, by designing and evaluating two
64 reduced models of the tongue in the aim to account for the movements of
65 the tongue in response to the separate activations of two important tongue
66 muscles, which are associated to two different kinds of tongue displacements.
67 Below, the MOR method and the biomechanical tongue model are described.
68 Then, the FE simulations, which resulted from these two separate muscle ac-
69 tivations and served in the learning phase of the MOR are presented, and the
70 capacity of the ROM to estimate tongue displacements in response to theses
71 single muscle activations is evaluated.

72 **2. Materials & Methods**

73 *2.1. ML-based MOR*

74 In sum, we expect the ROM to functionally accounts for the dynamical
75 behavior of the biomechanical tongue model over time. Tongue deformation
76 over time is induced in the biomechanical model by the time variations of m
77 muscle commands $\{g_k(t), k \in [1, m]\}$, called inputs. This tongue deformation
78 is described with n time varying spatial coordinates of the nodes located on
79 the surface of the tongue model, $\{p_i(t), i \in [1, n]\}$, called outputs. Input and
80 output variables are sampled at n_t regular time steps during the course of
81 the movement. Thus, the mechanical response of the biomechanical tongue
82 model to muscle commands is described by two matrices, the input matrix
83 G_{m,n_t} called “excitation” and the output matrix P_{n,n_t} . In the FE formula-
84 tion this input-output relation is computed with a full-order transient solver.
85 In the MOR this relation has to be learned from a limited number of sets
86 $\{\hat{P}_{n,n_t}, \hat{G}_{m,n_t}\}$ (called scenarios), in order to build a ROM that accounts for

87 the observed scenarios and generalizes the relation to unknown situations.
88 We used the MOR technique developed by ANSYS[®] called “Dynamic ROM
89 Builder” (DRB), which is accessible in the ANSYS Twin Builder product [19].
90 The DRB algorithm is being patented¹. The modeling process is described
91 in Figure 1 and consists of two steps: (i) an offline phase involving, first,
92 a reduction of dimensionality of the output vector using a Singular Value
93 Decomposition (SVD) that generates new variables \hat{X}_{r,n_t} from the original
94 variables \hat{P}_{n,n_t} and, second, the ROM building using a learning method that
95 optimizes the structure of the ROM from the set of \hat{X}_{r,n_t} variables ; (ii)
96 an online phase in which mechanical responses can be generated from new
97 values of the muscle commands G_{m,n_t} , first using the ROM which generates
98 variables X_{ROMr,n_t} in the space resulting from the dimensionality reduction
99 induced by the SVD carried out on the original data, and second by trans-
100 forming the outputs of the ROM into estimations of the surfacic tongue nodes
101 coordinates P_{ROMn,n_t} via an inverse transform of the SVD.

102 *2.1.1. Learning phase: ROM building*

103 The DRB algorithm models the dynamical behavior of the biomechanical
104 tongue model, as described by variable X , with two equations:

$$\dot{X}(t) = f(X(t), G(t)) \tag{1}$$

$$X(0) = X_0 \tag{2}$$

¹This application can be referenced as US Patent Application No. 16,527,387, entitled SYSTEMS AND METHODS FOR BUILDING DYNAMIC REDUCED ORDER PHYSICAL MODELS, filed July 31, 2019.

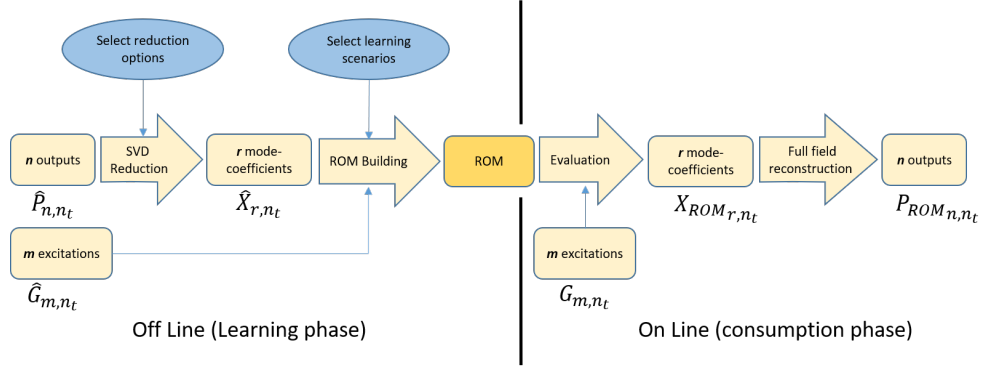


Figure 1: Overview of the different steps performed during the simulation of the Dynamic ROM Builder process. Variables G (dimension m, n_t) are the excitations that generate tongue deformations (\hat{G}_{m,n_t} are the excitations associated with the learning scenarios; G_{m,n_t} are the excitations associated with the simulations with the ROM). Variables P (dimension n, n_t) describe the variation of the coordinates of the n nodes on the surface of the tongue model over the n_t time steps of the simulations (\hat{P}_{n,n_t} are the data included in the learning scenarios; P_{ROM,n,n_t} are the coordinates of the nodes resulting from the simulations with the ROM). Variables X (dimension r, n_t , with r smaller than n) are the mode coefficients, which are in the space resulting from the dimensionality reduction applied to the space of the surfacic tongue nodes thanks to the SVD (see equation (6)) (\hat{X}_{r,n_t} results from the SVD applied to \hat{P}_{n,n_t} ; X_{ROM,r,n_t} is the output of the ROM, which is transformed into P_{ROM,n,n_t} via the inverse transform of the SVD as shown in equation 7).

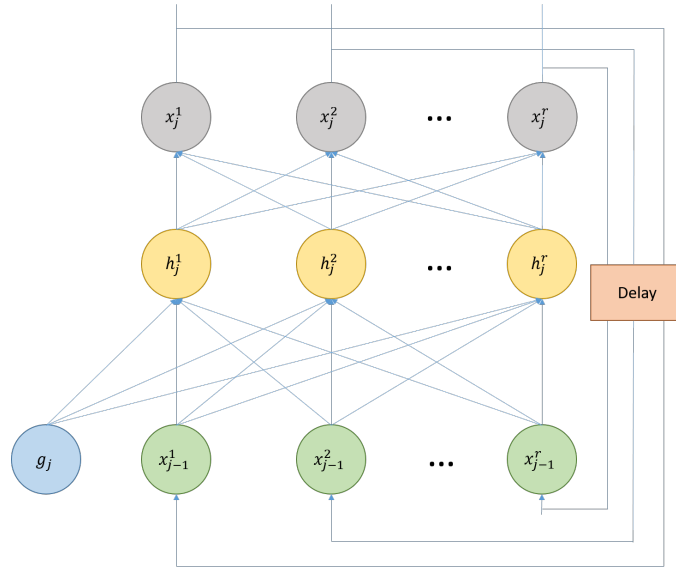
- 105 • $X(t)$ is the output vector (dimension r) at time t of the ROM
- 106 • $\dot{X}(t)$ is the first derivative of $X(t)$
- 107 • $G(t)$ is the input vector (dimension m) at time t
- 108 • f is a non-linear function which has to be learned from the set of
- 109 variables $\{\hat{X}_{r,n_t}, \hat{G}_{m,n_t}\}$ corresponding to the learning scenarios.

110 The learning process aims at finding the non-linear function f that min-
 111 imizes the average quadratic error E (equation 3), computed over the full
 112 set of learning scenarios, between the variables \hat{X}_{r,n_t} computed with the full-
 113 order transient FE model and the outputs X_{r,n_t} of the ROM predicted with
 114 equations (1) and (2) for the input vectors \hat{G}_{m,n_t} :

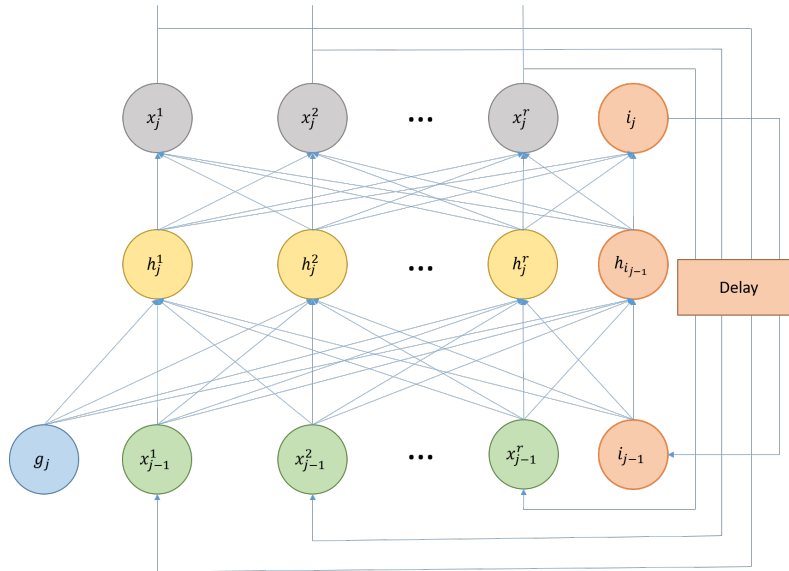
$$E = \overline{\left(\frac{1}{r} \sum_{l=1}^n \left(\frac{1}{n_t} \sum_{j=1}^{n_t} (X_{l,j} - \hat{X}_{l,j})^2 \right) \right)} \quad (3)$$

115 Function f is a quadratic function. It is implemented as a 3-layer recur-
 116 rent neural network with the same number of variables in the hidden and the
 117 output layers (see Figure 2a).

118 The activation function used in the hidden and output layers is a sigmoid.
 119 Conventional gradient descent optimization methods [20] are used in the op-
 120 timization process of f , which stops when error E (equation 3) becomes
 121 smaller than a predefined user-dependent threshold ε . If this threshold can-
 122 not be reached, a free variable $i_j, j \in [1, n_t]$, is added in the neural network
 123 implementation of f . A free variable can be considered as a memory cell in
 124 the neural network, which is external to the set of input and output variables,
 125 and which value is adapted along with the other parameters of the network
 126 at each time step. Adding a free variable in the network is done by adding an



(a) Original neural network



(b) Addition of a free variable

Figure 2: Scheme of the recurrent neural network implementation (at time step j). Panel a: Usual 3-layer recurrent network representation; Panel b: 3-layer recurrent network including free-variables to account for high-frequency dynamical properties.

127 output cell i_j and a corresponding input/hidden cell in the recurrent neural
 128 network (see Figure 2b).

129 Each new free variable is initialized as the time-varying error averaged
 130 on all the network outputs: $i_j = \frac{1}{r} \sum_{l=1}^r (X_{l,j} - \hat{X}_{l,j})$ with j varying from
 131 1 to n_t . Then, the optimization process starts over. If again the required
 132 threshold error cannot be reached, another free variable is added, using the
 133 same procedure, and the optimization process starts over. This procedure
 134 is repeated as many times as necessary until the (minimum) required error
 135 threshold ε is reached. Assuming k iterative steps, in which k free variables
 136 are added, the optimal ROM models the dynamical behavior of the FE tongue
 137 model according to equation (4),

$$\begin{pmatrix} \dot{X}_j \\ \dot{I}_j \end{pmatrix} = f \left(\begin{pmatrix} X_j \\ I_j \end{pmatrix}, G_j \right), j \in [1, n_t], \quad (4)$$

138 in which I_j and \dot{I}_j are k dimensional vectors corresponding to the free vari-
 139 ables that were generated along the iterative optimization process and their
 140 first time derivatives. The inclusion of the free variables is the innovative
 141 part of the DRB method. It enables us to obtain a better approximation
 142 of dynamical behavior of the biomechanical tongue model by accounting
 143 for complex non-linearities and higher order time-dependency characterizing
 144 this behavior, without increasing the depth (i.e. the number of layers) of
 145 the recurrent neural network, which avoids “vanishing gradients” problems
 146 [21, 22].

147 *2.1.2. Output vector: Reduction of dimensionality*

148 Ultimately, the outputs of the ROM should enable to generate at each
 149 time-step an accurate approximation of the vector P of the coordinates of the
 150 surfacic nodes of the biomechanical tongue model. Hence, at a first glance
 151 it would be natural to design the ROM directly from the \hat{P}_{n,n_t} matrices of
 152 the learning scenarios, and, in turn, to have the ROM generate directly es-
 153 timations of P_{n,n_t} coordinates in the consumption phase. However, given
 154 the high mesh density required for accurate simulations, the dimensionality
 155 n of vector P is very high. Using this vector as output of the recurrent
 156 network of Figure 2 would induce a considerable computational complexity
 157 for the learning phase. To reduce this complexity of the output space, the
 158 DRB method uses Singular Value Decomposition (SVD). SVD was chosen
 159 instead of recent and statistically more powerful techniques, such as autoen-
 160 coders, because it makes it possible to keep the physical components that
 161 are the most influential on tongue movements, such as inertia, incompress-
 162 ibility, and the fundamental law of dynamics, and to eliminate components
 163 related to computational inaccuracy without reliable physical foundations.
 164 SVD enables us to reduce the dimensionality of the output matrix by first
 165 decomposing the matrix \hat{P}_{n,n_t} of the coordinates of the n surfacic nodes at
 166 the n_t time steps of the learning scenarios as follows:

$$\hat{P}_{n,n_t} = U_{n,n} \cdot \Sigma_{n,n_t} \cdot V_{n_t,n_t}^\top \quad (5)$$

167 where U and V^\top are unitary matrices corresponding to the left and right
 168 singular vectors of \hat{P}_{n,n_t} and Σ_{n,n_t} is a diagonal matrix which terms are the
 169 singular values of matrix \hat{P}_{n,n_t} , ordered in descending magnitude from the

170 first to the last line. This decomposition allows us to do an approximation
 171 of \hat{P}_{n,n_t} by setting to zero the singular values that are smaller than a given
 172 threshold. Thus the dimensionality of Σ_{n,n_t} is reduced to (r, r) and matrix
 173 \hat{P}_{n,n_t} is approximated by \tilde{P}_{n,n_t} as follows:

$$\tilde{P}_{n,n_t} = U_{n,r} \cdot \Sigma_{r,r} \cdot V_{r,n_t}^\top \quad (6)$$

174 Hence, the coordinates of the n surfacic nodes are approximated with enough
 175 accuracy on the basis of the first r left singular vectors, called modes. To
 176 these modes are attached at each time-step j , $j \in [1, n_t]$, r mode-coefficients
 177 $\hat{X}_{r,j}$ that are computed, consistent with equation (6), with equation (7):

$$\hat{X}_{r,n_t} = \Sigma_{r,r} \cdot V_{r,n_t}^\top. \quad (7)$$

178 In the learning phase of the ROM, the recurrent neural network (Figure 2)
 179 is optimized in order for its outputs to satisfactorily approximate the matrix
 180 \hat{X}_{r,n_t} over the whole set of scenarios.

181 Once the ROM is learned, for the simulations with the ROM, matrix
 182 P_{n,n_t} of the n surfacic nodes of the biomechanical tongue model is estimated
 183 from the output matrix X_{r,n_t} of the ROM, in agreement with equation (6),
 184 by multiplying X_{r,n_t} with the matrix $U_{n,r}$ of the r first left singular vectors
 185 of \hat{P}_{n,n_t} :

$$P_{ROM_{n,n_t}} = U_{n,r} \cdot X_{r,n_t} \quad (8)$$

186 Importantly, SVD provides a linear account of the spatial relation be-
 187 tween surfacic nodes, whereas tongue strain in response to stress is known
 188 to obey non-linear mechanical laws. Despite this apparent contradiction,

189 SVD has for our modeling work a crucial feature: the physical phenomena
190 responsible for the tongue movement characteristics of largest magnitudes,
191 namely the mass, the stiffness and the damping factor, are represented by
192 the largest singular values. They also correspond to low-frequency modes of
193 the mechanical system. Thus, SVD essentially keeps low frequency modes.
194 Consequently, the prediction error of SVD, i.e. the difference between the
195 actual time-varying positions of the surfacic nodes (\hat{P}_{n,n_t}) and their lower
196 dimensional account (\tilde{P}_{n,n_t}) after SVD, mainly includes high frequency com-
197 ponents. Importantly, these high-frequency components are the consequence
198 of different phenomena, of which only a part actually reflects the true com-
199 plexity of the physical properties of the tongue, which the SVD cannot ac-
200 count for faithfully because of its linearity properties. Another part is due to
201 high frequency computational noise intrinsically associated with FE solvers,
202 which it is in fact interesting not to integrate in the modeling since they
203 do not correspond to real characteristics of the tongue. By selecting the
204 low-frequency modes, SVD removes high frequency noise that has no physi-
205 cal meaning, but also those resulting from the physics. The DRB approach
206 uses free variables to put back into the model physically meaningful high-
207 frequency components. Indeed, it is believed that consistency in dynamical
208 behavior of the biomechanical model across simulations makes it likely that
209 the high frequency components included in the added free variables account
210 mainly for real physical phenomena.

211 *2.1.3. 3D biomechanical model of the human tongue*

212 The tongue model used for the simulations is described in [23]. It is
213 based on an FE mesh with 7763 nodes and 8780 hexahedral elements. The

214 constitutive law used to model the elastic properties of the tongue tissue is a
 215 Mooney-Rivlin material with two parameters C_{10} and C_{20} (respectively equal
 216 to 192 Pa and 90 Pa). Tongue viscosity is approximated with a Rayleigh
 217 model (Rayleigh coefficients: $\alpha = 20$ and $\beta = 0.0$). To model the quasi-
 218 incompressibility of the tissue, the Poisson ratio is fixed to $\nu = 0.4999$. No-
 219 displacement “boundaries conditions” are defined on the nodes in contact
 220 with the jaw and on the lowest boundary of the mouth floor.

221 Figure 3 shows the mesh of the tongue model and highlights in blue the
 222 two muscles which will be independently activated in the numerical simu-
 223 lations used in the learning phase, namely the styloglossus (SG) and the
 224 genioglossus posterior (GG-P).

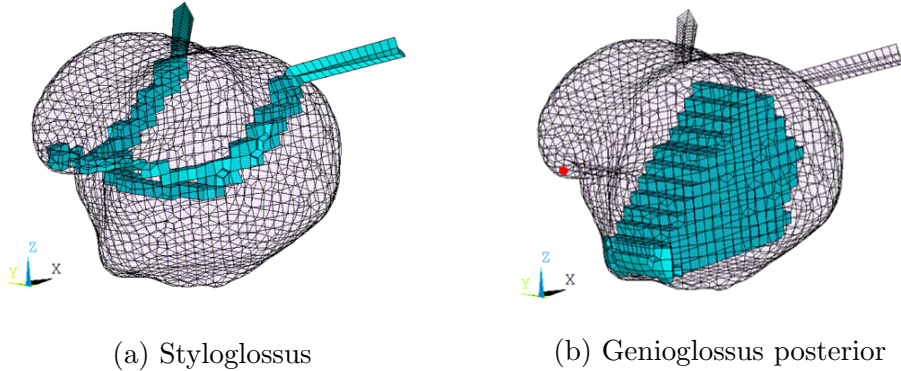


Figure 3: FE mesh of the tongue model used for the simulations with the two activated muscles highlighted in blue. Each muscle activation is modeled as a transversely isotropic material with an activation along the main direction of the fibers. The red point on the tongue tip, located in the mid-sagittal plane of the tongue, is used below to illustrate the accuracy of the predictions with the ROM.

225 *2.2. Simulation data*

226 The learning phase is based on a set of scenarios. Each scenario consists
227 of two sets of data called “excitation” (inputs) and “output”. The excita-
228 tion $\hat{G}_j, j \in [1, n_t]$ is a time-varying activation of one of the two considered
229 muscles and the output $\hat{X}_j, j \in [1, n_t]$ corresponds to the r mode-coefficients
230 computed from the coordinates of the surfacic nodes (1861 nodes) according
231 to equation (7).

232 *2.2.1. Excitation*

233 In this study we have built two different ROMs of the biomechanical
234 tongue model corresponding to two quite different kinds of deformations.
235 The ROM was learned from simulations of tongue movements in response
236 to the activation of an intrinsic muscle located in the center of the tongue,
237 the Genioglossus Posterior (GG-P), which is responsible for protrusion and
238 elevation of the tongue [10]. The second ROM was learned from simulations
239 of tongue movements in response to the activation of an extrinsic muscle,
240 the Styloglossus (SG), which raises and retracts the tongue [10]. Thus two
241 sets of excitations are studied: (1) activations of the GG-P muscle alone; (2)
242 activations of the SG muscle alone. In both cases, muscle activation pat-
243 terns consist of a linearly increasing phase followed by a stabilization phase
244 (Figure 4). This approach does not aim at building a unique ROM of the
245 tongue, which could account for every kind of tongue deformation associated
246 with any pattern of muscle activations (such an objective would require ex-
247 tensive coverage of the motor command space), but, more modestly, to assess
248 the capacity of the DRB method to account for different complex non-linear
249 time-deformations of the tongue along different directions. This is an essen-

250 tial prerequisite for any further effort to build a unique and exhaustive ROM
 251 of the tongue.

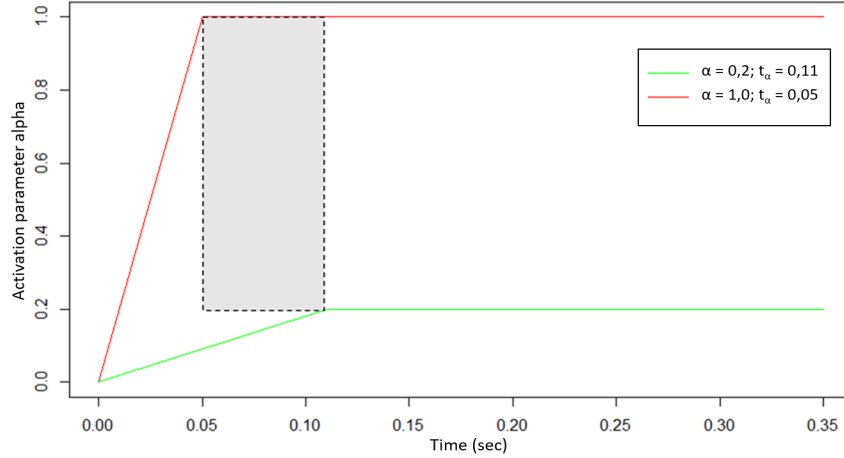


Figure 4: Range of variation of all possible excitation patterns used in the scenarios, either with GG-P or with SG . In red, the pattern corresponding to the maximum stress in the stabilization phase with a minimum duration of the increasing phase of muscle activation. In green the pattern corresponding to the minimum stress in the stabilization phase with a maximum duration of the increasing phase of the activation. The rectangle in grey corresponds to the whole range of possible parameters values of the simulations.

252 In the FE model muscle activation is directly defined as a stress that in-
 253 creases from zero to the value σ (expressed in Pa) reached in the stabilization
 254 phase. σ is specified in reference to a maximum value σ_{max} via an activation
 255 parameter α in the interval $[0; 1]$ such that $\sigma = \alpha \times \sigma_{max}$. In our scenarios α
 256 varies in the range $[0.2; 1.0]$. All the simulations have a total duration t_{total} of
 257 0.35 s with a duration of the initial linearly increasing phase t_α in the range
 258 $[0.05\text{ s}, 0.11\text{ s}]$. These durations have been chosen because they correspond
 259 to the generation of realistic tongue movements in speech production with

260 the biomechanical model.

261 Figure 5 illustrates how the non-linear dynamics of tongue tissue shapes
262 the kinematics of the tongue, with the displacement along the 2 axes of the
263 mid-sagittal plane of a point located on the tip of the tongue in the mid-
264 sagittal plane (red dot on Figure 3) during a GG-P activation. The model
265 being symmetrical nodes located in the mid-sagittal plane do not move along
266 the y direction orthogonal to the mid-sagittal plane.

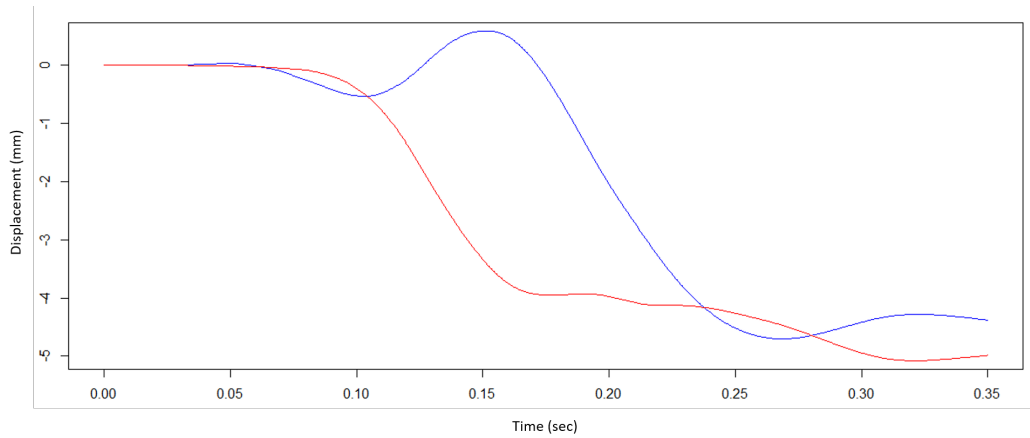


Figure 5: Tongue displacement of a node (represented Figure 3 in red) after activation of the GG-P. Red: Front back horizontal direction x ; Blue: Vertical direction z . $\alpha = 0.6, t_\alpha = 0.09 s$

267 2.2.2. Output

268 The 3D motion of the 1861 surfacic nodes is used to evaluate the perfor-
269 mance of the ROM.

270 2.3. Learning scenarios

271 Two sets of 20 simulations were conducted, one for the GG-P and one
272 for the SG, in order to set up the learning scenarios. These simulations were

273 performed with excitation data whose parameters α and t_α had steps of 0.2
 274 and 0.02 s respectively within the ranges of variation given above, forming a
 275 grid represented on Figure 6.

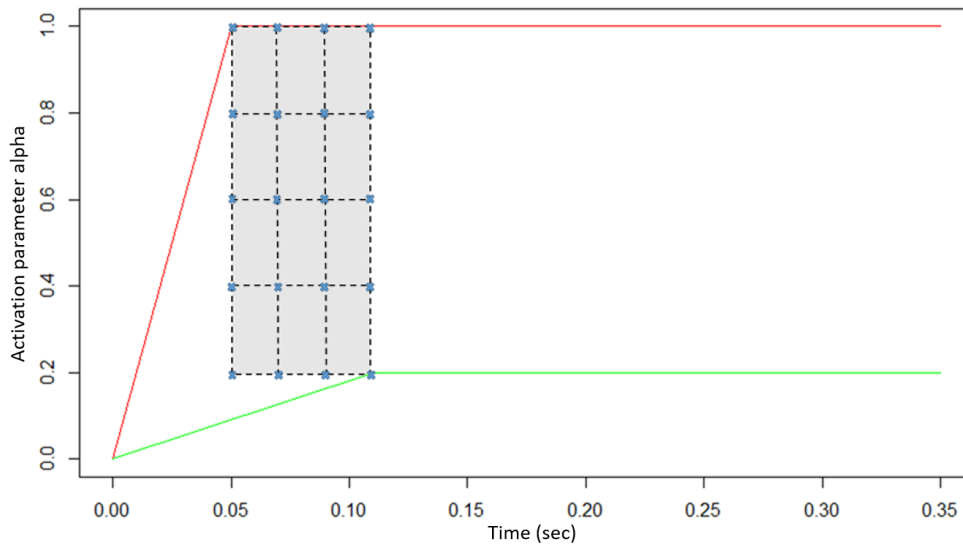


Figure 6: Set of learning scenarios for each activation cases (SG, GG-P)

276 For the learning of the two ROMs we set minimum error threshold ε to
 277 obtain an accuracy of less than 1/10mm (see section 2.1.1), which enabled us
 278 to have an average root mean square error on the evaluation scenarios (see
 279 below) in the order of a few tenths of millimeters.

280 2.4. Evaluation scenarios

281 Two sets of 20 simulations were used for the evaluation scenarios. Pa-
 282 rameters α and t_α were randomly distributed inside four subparts of the grid
 283 of Figure 7 to cover a sufficiently large range of possibilities without using
 284 any set of parameters already used in simulations that served for learning.

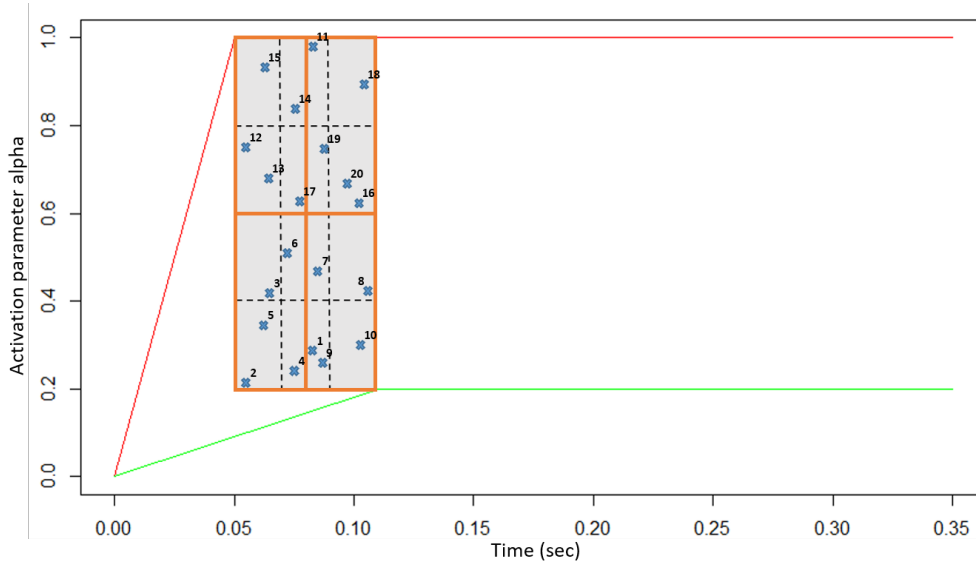


Figure 7: Set of excitations used in the evaluation scenarios for a single muscle

285 *2.5. Metric*

286 To quantitatively evaluate the ROM accuracy, the metric used is the
 287 average root mean square error (average RMS error) between the coordinates
 288 of the surfacic nodes as approximated with the ROM and the coordinates
 289 computed with the full-order transient FE model. It is computed with a
 290 formula similar to the one of equation 3, in which \hat{X}_{n,n_t} and X_{n,n_t} are replaced
 291 by the matrices of the approximated and ground-truth coordinates (P_{ROM}
 292 and P) of the nodes. An average RMS error of less than a few tenths of a
 293 millimeter is considered to reveal a satisfactory quality.

294 **3. Results & Discussion**

295 *3.1. Results*

296 Figure 8 shows the original and the approximated x and z displacements
297 of a node on the tongue tip (red dot on Figure 3) associated with the ROM
298 learned from the activations of the GG-P alone (Panel a) and with the model
299 learned from the activations of the SG alone (Panel b). In both cases, only
300 one free variable was required for the optimal ROM. We see, on these exam-
301 ples, that the DRB method provides a good approximation of the ground-
302 truth deformations of the biomechanical tongue model over time in response
303 to either the GG-P or the SG muscle.

304 The average and standard deviation across surfacic nodes of the RMS
305 error computed over the whole movement are given in Figure 9 for each of
306 the 20 scenarios separately. Depending on the scenario the RMS error varies
307 between 0.038 mm and 0.074 mm for the GG-P activation, and between 0.084
308 mm and 0.146 mm for the SG activation. Standard deviation is between 0.011
309 mm and 0.018 mm for the GG-P and between 0.028 mm and 0.062 mm for
310 the SG. This is in the order of magnitude of the accuracy reached by the most
311 sophisticated tongue movement tracking systems such as Electro Magnetic
312 Articulometer (EMA) [24].

313 Figure 10 illustrates for 4 evaluation scenarios associated with 4 increasing
314 levels of each muscle activation the spatial distribution over the surfacic nodes
315 of the prediction error, computed as the module of vector $(P - P_{ROM})$. We
316 observe that the prediction error is quite evenly distributed and is in general
317 low, except in the posterior velar region where the external branches of the
318 SG, arising from the styloid process, enter the body of the tongue. The

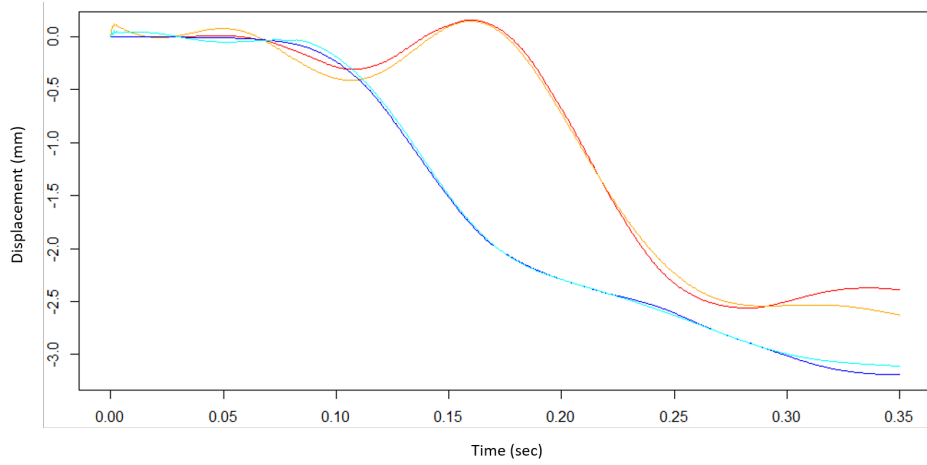
319 external branches of the SG are not represented in Figure 10a in order to focus
320 on the error map on the tongue body, which determines vocal tract geometry.
321 This strong and localized prediction error deserves further investigation.

322 We assessed the stability of mechanical equilibrium predicted with the
323 ROM in the stabilization phase by extending the duration of this phase in
324 new simulations. Figure 11 shows the vertical displacement of the tongue
325 tip node generated with the ROM (learned with a $t_{total} = 0.35$ s) for a total
326 duration t_{total} of one second, with a SG activation of $\alpha = 0.24$, $t_\alpha = 0.076$ s.
327 The simulated movement is stable with an RMS error averaged on the surfacic
328 nodes of 0.29 mm and a standard deviation of 0.19 mm.

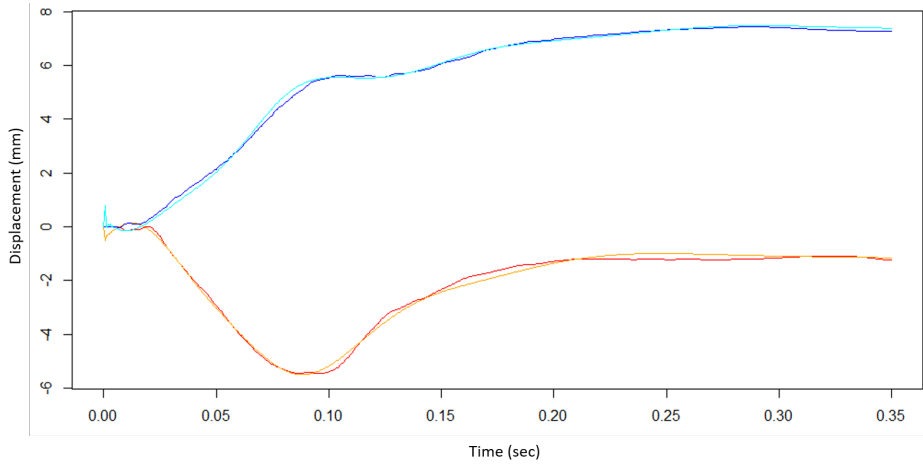
329 *3.2. Discussion*

330 Using the DRB method we have designed two different ROM in order
331 to account for the deformations of the tongue in response to the separate
332 activations of the GG-P and the SG. The results are encouraging as concerns
333 the capacity of the DRB method to account for the dynamical behavior of
334 tongue tissues. Each ROM generates in real time tongue movements that
335 are close to those generated with the original biomechanical model, with a
336 sub-millimetric average RMS error. Slight differences are observed in the
337 approximation quality between the ROM based of GG-P activations and
338 the one based on SG activations. Figure 8 provides a possible explanation:
339 the trajectory generated with the biomechanical model is more noisy for the
340 activation of the SG, probably because of some numerical inaccuracies.

341 Figure 8 suggests that in the considered scenarios the node trajectories
342 are not so complex, and are similar to the indicial response of an under-
343 damped second order system. This is consistent with the fact that only one

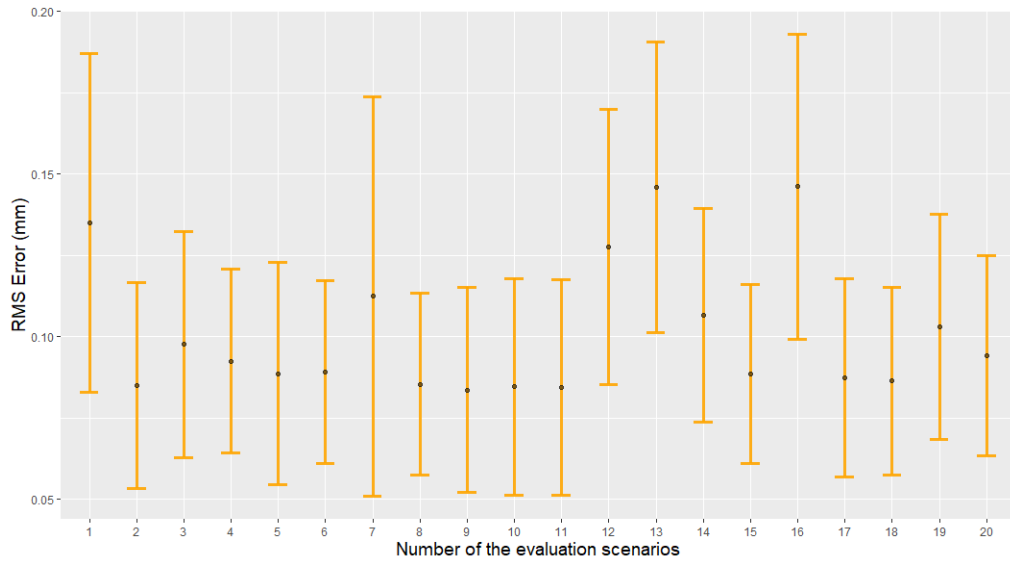


(a) Genioglossus posterior

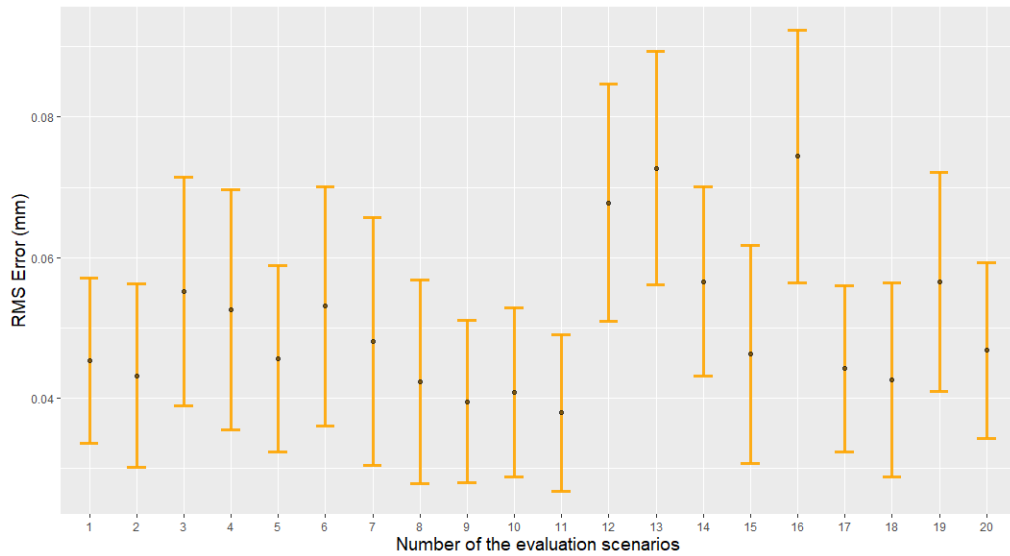


(b) Styloglossus

Figure 8: Examples of displacements of a node on the tip of the tongue (red point represented in Figure 3) in response to an activation of the GG-P alone (Panel a) and an activation of the SG alone (Panel b). Red curves: displacement resulting from the simulations with the biomechanical model along the vertical direction z . Orange curves: displacement computed with the ROM along z . Blue curves: displacement resulting from the simulations with the biomechanical model along the front-back horizontal direction x . Cyan curves: displacement computed with the ROM along x . In both figure, $\alpha = 0.29, t_\alpha = 0.081 s$

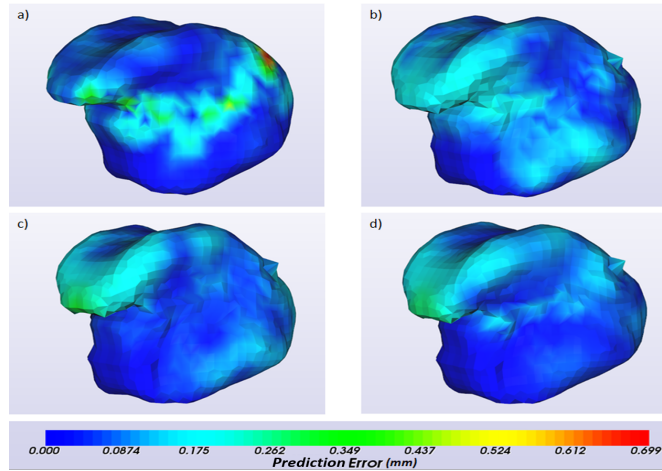


(a) Activations of the genioglossus posterior alone

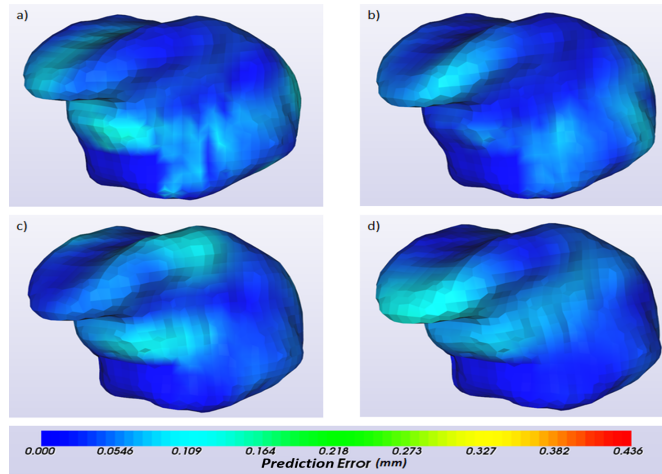


(b) Activations of the styloglossus alone

Figure 9: Average (black dot) and standard deviation (orange segment around the dot) across nodes of the RMS error computed over the whole movement for each of the 20 scenarios.



(a) Prediction error for styloglossus activations



(b) Prediction error for genioglossus posterior activations

Figure 10: Distribution (Heat Map) over the nodes on the tongue surface of the prediction error (in mm, see text) for the activation of the SG alone (Top panel) and the GG-P alone (Bottom panel), corresponding to 4 increasing levels of activation (from (a) to (d)). The heat map representing this distribution is superimposed on the tongue shape achieved at the corresponding time of the movement. The activations are defined such as: a) ($\alpha = 0.29$, $t_\alpha = 0.081$ s), b) ($\alpha = 0.44$, $t_\alpha = 0.085$ s), c) ($\alpha = 0.69$, $t_\alpha = 0.065$ s), d) ($\alpha = 0.88$, $t_\alpha = 0.104$ s).

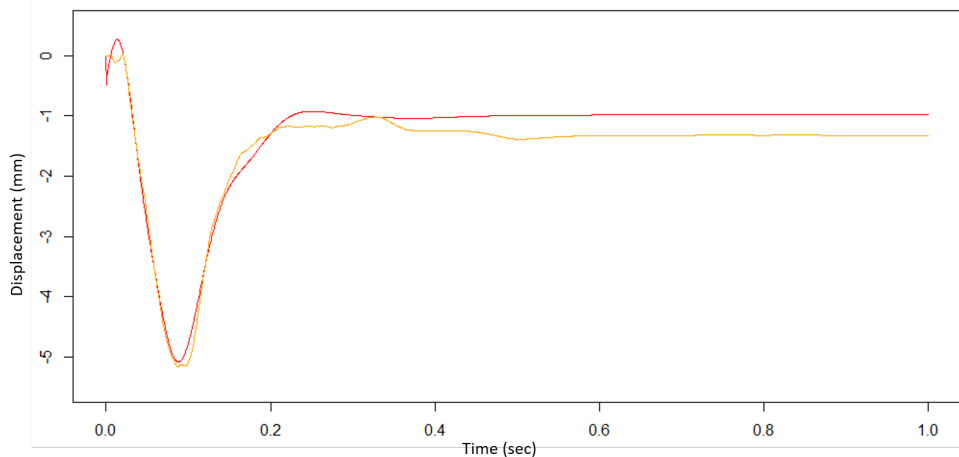


Figure 11: ROM estimation of the displacement a node on the tip of the tongue (red dot on Figure 3) over a total duration $t_{total} = 1 s$ along the z axis for a SG activation with $\alpha = 0.24, t_{\alpha} = 0.076 s$

344 free variable was required for the optimal ROMs and it could explain the
 345 good approximation that we have obtained at this stage for both ROMs.
 346 Further work will involve more complex muscle activation scenarios in which
 347 mechanical non-linearities will have stronger consequences on tongue move-
 348 ments. We will consider cases in which several muscles are activated at the
 349 same time, with different timings, as well as situations involving contacts
 350 between tongue and vocal tract boundaries.

351 Importantly, Figure 9 & 10 show that the final configurations are pre-
 352 dicted accurately. This makes us confident in the capacity of the ROM to
 353 reliably assess, in the context of clinical applications, the range of speech
 354 articulations that a patient will be able to produce in post-surgical condi-
 355 tions. In addition, the Figure 11 shows that the ROM is able to provide
 356 accurate and stable predictions beyond durations used in learning. This sug-

357 gests that the MOR method learns the dynamics of the tongue model and not
358 only statistical relations between inputs and outputs as given in the learning
359 scenarios.

360 **4. Conclusion**

361 Two distinct ROMs have been constructed on two kinds of muscle ac-
362 tivations (SG alone and GG-P alone) with the DRB method, which relies
363 on a 3-layer recurrent neural network and the original use of free variables.
364 This method doesn't increase the deepness of the neural network, which has
365 the advantage of not requiring deep-learning methods based on large data
366 sets with possible vanishing gradient problems. Our first results show that
367 the designed ROM predicts tongue movements in response to single muscle
368 activations in real time with a sub-millimetric average accuracy.

369 Further evaluations are required in situations where stronger non-linearities
370 are involved and more complex muscle activation patterns are used. The ul-
371 timate goal is to have a unique ROM accounting for the global dynamics of
372 our biomechanical tongue model in response to any pattern of muscle acti-
373 vations. This is a basic requirement in order to use this ROM method with
374 models of resected and reconstructed tongues of patients included in clinical
375 protocols.

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